

List of personal data required and sufficient for performing the following tasks:

JSC «Altyn Bank JSC (DB China Citic Bank Corporation Ltd)

| No | Name of the task, including its functions, powers, and responsibilities | Goals of collection and processing within the current task | Naming of personal data for a specific purpose | Reference to documents or regulatory legal acts that have direct references to tasks performed by the owner and / or operator |
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| 1. | Performing banking and other operations, rendering services in accordance with the Bank's charter and the license issued to the Bank to perform banking and other operations. | <p>Conducting negotiations and / or correspondence with legal entities and authorized bodies (National Bank of the Republic of Kazakhstan, Agency of the Republic of Kazakhstan for Regulation and Development of the Financial Market), Credit Bureaus, Unified Accumulative Pension Fund, Kazakhstan Sustainability Fund, Problem Loans Fund, Damu Development Fund, Second-tier banks, State Revenue Committee of the Ministry of Finance of the Republic of Kazakhstan, law enforcement agencies, Public service centers, Real estate centers, Traffic police, courts, prosecutor's office, Shareholders /members of the Bank's Group of Shareholders, etc.in order to obtain advice, explanations necessary for the development and/or implementation of strategies/action plans on banking activities and other operations. Ensuring that the Bank complies with the requirements of the US Foreign Account Taxation Act and the Competent Authorities ' Multilateral Agreement on Automatic Exchange of Financial Account Information (FATCA/ OECD).</p> <p>Fulfillment of the Bank's obligations to counterparties, feedback from counterparties, including sending notifications, requests, informational messages for the</p> | <p>Personal data of restricted access;</p> <p>Last name , First name and Patronymic (if any) Paul</p> <p>Enter information about changing your last name, first name, and patronymic.</p> <p>Birth data: date of birth; place of birth.</p> <p>Data on citizenship and country of residence.</p> <p>Address of the place of residence/registration, date of registration at the place of residence or at the place of temporary stay (residence). Address of residence abroad.</p> | <p>Law of the Republic of Kazakhstan dated August 31, 1995 No. 2444 "On Banks and Banking Activities in the Republic of Kazakhstan"</p> <p>Law of the Republic of Kazakhstan dated August 28, 2009 No. 191-IV "On Countering the Legalization (Laundering) of proceeds from crime and the Financing of Terrorism"</p> <p>Law of the Republic of Kazakhstan dated May 21, 2013 No. 94-V "On Personal Data of Individuals" data protection"</p> <p>Code of the Republic of Kazakhstan dated October 29, 2015 No. 375-V "Business Code of the Republic of Kazakhstan".</p> |

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| 2. | Generating statistical reports, including for providing them to third parties. | purpose of providing and receiving services, as well as for sending e-mails and other forms of sending/receiving information by phone or e-mail to the counterparty, as well as for processing requests and requests from counterparties. | Contact phone numbers. | Code of the Republic of Kazakhstan " On Taxes and Other mandatory payments to the Budget (Tax Code)" |
| 3. | Providing the Personal data Subject with information about the services provided by the Bank, about the development of new products and services by the Bank; informing the Client about offers for | Opening and maintaining bank accounts, accepting deposits in a Digital Bank and / or in a Bank branch: performing initial input and confirmation of payment instructions and contracts in the Bank's main automated information system, issuing and servicing payment cards, timely and reliable reflection of all banking operations on personal accounts based on the received primary documents, accepting payment instructions for transferring and crediting funds to local and foreign banks accepting and executing requests for changing contact and other personal data, entering and removing encumbrances on clients ' bank accounts from third parties and government agencies, registering inheritances, and closing the account. | Individual Identification number (IIN). Business Identification Number (BIN). Portrait image (digitized photo), video and audio recording, biometric data. Signature (handwritten and digital signature). Details of the identity document: name of the document; document number; date of issue of the document; term of validity of the document; issuing authority. | Law of the Republic of Kazakhstan On the Securities Market of July 2, 2003 No. 461. Law of the Republic of Kazakhstan of July 26, 2016 No. 11-VI "On Payments and Payment Systems" Law of the Republic of Kazakhstan of July 6, 2004 No. 573-II "On credit bureaus and formation of credit histories in the Republic of Kazakhstan" |
| 4. | the Bank's products and services;Performing administrative and economic activities by the Bank. | Processing any information about the Subject,including his personal data, photos and / or video images, includingbiometric personal data recorded on electronic, paper orany other medium. Cash operations: accepting and issuing cash, including accepting and issuing non-cash transfers without opening an account, and foreign currency exchange operations. Performing safe deposit operations. | Information about your marital status: state of marriage; data of the marriage certificate; data of the marriage certificate; data of the divorce certificate; surname, first name, patronymic of the spouse; data of the spouse's identity document; degree of kinship; surnames, first names, patronymics and dates of birth of other family members, dependents; presence of children (includingadopted children children in care) and their age; data from the children's birth certificate. | Law of the Republic of Kazakhstan on Restoration of Solvency and Bankruptcy of Citizens of the Republic of Kazakhstan dated December 30, 2022 No. 178-VII ZRK. Law of the Republic of Kazakhstan dated May 16, 2014 No. 202-V "On Permits and Notifications" |
| 5. | Identify cases of fraud, theft of money from the account, and other illegal actions, prevent such illegal actions in the future, and localize the consequences of such actions. | Performing trust operations: managing money, rights of claim on bank accounts, loans in the interests and on behalf of the principal. Providing brokerage services; advising clients on cash flow management, investing and hedging risks; | | Law of the Republic of Kazakhstan dated December 23, 1995 No. 2723 "On Mortgage of Real Estate" |

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| | Implementation of measures for proper verification of the Personal data Subject (client), its representative and beneficial owner. | Concluding transactions for the purchase and sale of foreign currency, securities and financial instruments when they are traded on the primary, secondary organized and unorganized markets. | Presence (absence) criminal records. | Law of the Republic of Kazakhstan No. 370 of January 7, 2003 "On Electronic Document and electronic digital Signature" |
| 6. | Implementation of financial monitoring in relation to banking operations of the Personal Data Subject (client). | Maintaining registers (lists) of persons / parties connected with the Bank by special relations. | Place of work and position. | Law of the Republic of Kazakhstan dated November 24, 2015 No. 418-V "On Informatization" |
| 7. | Conclusion of any contracts with the Personal Data Subject and their further execution. | Performing the following bank loan operations: reviewing the client's application for a loan, transmitting data to the credit bureau, forming a credit dossier of the client, obtaining the consent of the subject of credit history to provide information about him / her to the Credit Bureau and issuing a credit report, evaluating the property provided as collateral; examination of title, identification, technical documents, etc. changes in the schedule, term, reduction of the interest rate, loan restructuring, re-registration after the borrower's death, replacement/addition of a co-borrower/guarantor, consent to the registration of pledged real estate, release of collateral, cancellation of the requirement to replace the collateral, transfer of debt, sale under encumbrance, sale by entering into a deposit agreement, legalization redevelopment and updating of the technical passport of real estate, obtaining a duplicate of the contract of sale, partial and / or full repayment. | Data on the Bank's affiliated persons, persons connected with the Bank by special relations, their spouses and close relatives, close relatives of the spouse (s): - details and contents of the identity document; - individual identification number; - postal address. - the degree of kinship of close relatives; | Law of the Republic of Kazakhstan dated May 13, 2003 No. 415 "On Joint-StockCompanies". |
| 8. | | Management of overdue and problem loans: debt collection, including in court and out of court, correspondence with borrowers, guarantors, mortgagors and other persons, including legal entities, on issues related to the repayment of problem loans. | Information about wages, tax deductions. | Law of the Republic of Kazakhstan dated December 19, 2003 No. 508 "On Advertising" |
| | | Receiving, registering, and following up on customer requests, complaints, and requests, preparing responses to them, and providing feedback to customers; | Information about the subject's participation in the capital of legal entities – the share of participation. | Resolution of the Board of the National Bank of the Republic of Kazakhstan dated August 31, 2016 No. 217 "On approval of the Rules of operation of the Interbank payment Card system" |
| | | | ЭлектронныйEmail address, website in Internet resources, IP addresses, MAC addresses, HTTP header, user agent (country, language, local settings, (version) of the operating system), as well as application versionтокен, application token and токенеvent token. | Resolution of the Board of the National Bank of the Republic of Kazakhstan dated August 31, 2016 No. 207 "On Approval of the Rules for Opening, Maintaining andClosing Bank Accounts of Clients" |
| | | | Information about the property (property status): motor transport (brand, place of registration, | Resolution of the Board of the National Bank of the Republic of Kazakhstan dated August |

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| 9. | <p>Conducting research, promotions, and surveys by the Bank.</p> <p>Management of personnel work and organization of accounting of Bank employees.</p> <p>Attracting and selecting Candidates.</p> | <p>Evaluating and improving the quality of services, the Bank's work, developing new services, promoting services, conducting statistical and marketing research, including those related to the Bank's work, conducting marketing events, sending advertising messages and offers to participate in special promotions and events.</p> <p>Organization of personnel accounting of the Bank, management of personnel records; assistance to employees in professional training, retraining and advanced training, other training and professional practice; calculation and calculation of wages, compensation and other payments to the Bank, as well as compliance with other guarantees and granting benefits in accordance with the requirements of the labor legislation of the Republic of Kazakhstan, collective agreements and acts Bank; calculating, withholding and transferring taxes, as well as submitting tax reports in accordance with the requirements of the tax legislation of the Republic of Kazakhstan; providing information to the authorized employment agency in accordance with the requirements of the legislation of the Republic of Kazakhstan on employment of the population; withholding and deducting money for pension provision and mandatory social insurance of employees in accordance with the requirements of the legislation of the Republic of Kazakhstan on pension provision, on compulsory social insurance; organization of business trips (business trips) of employees; issuance of powers of attorney (including for representing the Bank's interests to third parties); compliance with access control in the Bank's premises; accounting of working hours.</p> | <p>registration numbers, identification numbers (serial numbers) of motor vehicles); information on registered rights to real estate: identification characteristics (address, registration code of the address, type of real estate, cadastral number, form of ownership, number of components, land category, divisibility, purpose, number of storeys, total and residential area); technical specifications. method and basis for obtaining real estate items.</p> <p>Availability of bank loans and their contacts. Availability of a bank account.</p> <p>Bank payment card details. Date of death.</p> <p>Attorney.</p> <p>Information about professional development and retraining: series, number, date of issue of the document on professional development or retraining; name and location of the educational institution; qualification and specialty at the end of the educational institution.</p> <p>Information about the Subject's credit history.</p> <p>Information obtained from state databases, from an authorized</p> | <p>31, 2016 No. 205 "On Approval of the Rules for Issuing Payment Cards, as well as Requirements for Servicing Operations involving their Use in the Territory of the Republic of Kazakhstan"</p> <p>Resolution of the Board of the National Bank of the Republic of Kazakhstan dated August 31, 2016 No. 201 "On Approval of the Rules for the Operation of the Interbank Money Transfer System".</p> <p>Resolution of the Board of the Agency for Regulation and Development of the Financial Market of the Republic of Kazakhstan dated March 30, 2020 No. 29 "On Approval of Requirements for Banks' Own Premises with Centralized access to the Automated Banking Information System "</p> <p>Resolution of the Board of the National Bank of the Republic of Kazakhstan dated December 23, 2019 No. 248 "On Approval of the Rules for Concluding a Bank Loan Agreement, including Requirements for content, formalization, mandatory terms of the bank loan agreement, loan repayment schedule</p> |
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| | | | <p>pension payment organization, from databases of state agencies, organizations and individuals, directly and/or through third parties. Data of the certificate of state registration of an individual entrepreneur, legal entities, and data of the license to carry out the licensed activity.</p> <p>Data on administrative violations (number, date, article of violation, amount of fine). Actions, purchase history, metadata (login time, frequency) and customer address books in the Altyn-i mobile app.</p> <p>Information about social benefits and social status (series, number, date of issue, name of the body that issued the document that is the basis for granting benefits and status).</p> <p>Current employment data: full indication of the position, structural division, organization, its name; general and continuous work experience; addresses and phone numbers, as well as details of other organizations with the full name of their previous positions and working hours in these organizations.</p> <p>Information about your education, qualifications, and</p> | <p>forms, and a memo for the individual borrower"</p> <p>Resolution of the Board of the National Bank of the Republic of Kazakhstan dated March 27, 2018 No. 48 " On Approval of Information Security Requirements for Banks, Branches of Non-Resident Banks of the Republic of Kazakhstan and Organizations Engaged in Certain Types of Banking Operations.</p> <p>Resolution of the Board of the National Bank of the Republic of Kazakhstan dated November 29, 2019 No. 231 "Rules for cash operations and operations for the collection of banknotes, coins and valuables in second-tier banks, branches of non-resident banks of the Republic of Kazakhstan, the National Post Operator and legal entities whose exclusive activity is the collection of banknotes, coins and valuables".</p> <p>Comprehensive Banking Agreement for retail clients AO «of Altyn Bank JSC (DB China CITIC Bank Corporation Ltd)</p> <p>Rules on General Terms and Conditions of Operations for Legal Entities/ Individuals</p> |
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| | | | <p>availability of special knowledge or training.</p> <p>Driver's license.</p> <p>Information on the military registration of persons liable for military service and persons subject to conscription.</p> | <p>AO «of Altyn Bank JSC (DB China CITIC Bank Corporation Ltd)</p> <p>Rules for the collection, processing and protection of personal data of Altyn Bank JSC (DB China CITIC Bank Corporation Ltd)</p> <p>Consent form to collect and process personal data of an individual.</p> |
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